

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF PENNSYLVANIA

V. :
: Civil Action
: No: _____
:
:

DISCLOSURE STATEMENT FORM

Please check one box:

- The nongovernmental corporate party, _____, in the above listed civil action does not have any parent corporation and publicly held corporation that owns 10% or more of its stock.
- The nongovernmental corporate party, _____, in the above listed civil action has the following parent corporation(s) and publicly held corporation(s) that owns 10% or more of its stock:

Date Signature

Counsel for: _____

Federal Rule of Civil Procedure 7.1 Disclosure Statement

- (a) WHO MUST FILE; CONTENTS. A nongovernmental corporate party must file two copies of a disclosure statement that:
 - (1) identifies any parent corporation and any publicly held corporation owning 10% or more of its stock; or
 - (2) states that there is no such corporation.
- (b) TIME TO FILE; SUPPLEMENTAL FILING. A party must:
 - (1) file the disclosure statement with its first appearance, pleading, petition, motion, response, or other request addressed to the court; and
 - (2) promptly file a supplemental statement if any required information changes.

**UNITED STATE DISTRICT COURT
EASTERN DISTRICT OF PENNSYLVANIA**

USA : Criminal Action
: :
v. : :
: :
: :
: No.

DISCLOSURE STATEMENT FORM

Please check one box:

- The nongovernmental corporate party, _____, in the above listed criminal action does not have any parent corporation and publicly held corporation that owns 10% or more of its stock.

- The nongovernmental corporate party, _____, in the above listed criminal action has the following parent corporation(s) and publicly held corporation(s) that owns 10% or more of its stock:

Date

Signature

Counsel for: _____

Federal Rule of Criminal Procedure 12.4 Disclosure Statement

- (a) WHO MUST FILE.
 - (1) NONGOVERNMENTAL CORPORATE PARTY. Any nongovernmental corporate party to a proceeding in a district court must file a statement that identifies any parent corporation and any publicly held corporation that owns 10% or more of its stock or states that there is no such corporation.
 - (2) ORGANIZATIONAL VICTIM. If an organization is a victim of the alleged criminal activity, the government must file a statement identifying the victim. If the organizational victim is a corporation, the statement must also disclose the information required by Rule 12.4(a)(1) to the extent that it can be obtained through due diligence.
- (b) TIME FOR FILING; SUPPLEMENTAL FILING. A party must:
 - (1) file the Rule 12.4(a) statement upon the defendant's initial appearance; and
 - (2) promptly file a supplemental statement upon any change in the information that the statement requires.